



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/682,276	08/13/2001	Rieko Kataoka	JP920000224

DSJ

00877  
IBM CORPORATION, T.J. WATSON RESEARCH CENTER  
P.O. BOX 218  
YORKTOWN HEIGHTS, NY 10598

CONFIRMATION NO. 4826

## FORMALITIES LETTER



\*OC000000006444583\*

Date Mailed: 08/20/2001

Due 10/20/01

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

---

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

09/06/2001 HMDR1 00000062 090468 09682276  
01 FC:105 130.00 CH

2001 AUG 23 EFT 9:25  
...FEDERAL PATENT ENTITY  
LAW DEPT.

IBM  
CORPORATION



# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Kataoka et al.

Docket No.: JP920000224US1

Serial No.: 09/682,276.

Group Art Unit: 2673

Filed: August 13, 2001

Examiner:

For: Display Apparatus For A Computer Having A Storage Medium

Assistant Commissioner for Patents  
Washington, D.C. 20231

## TRANSMITTAL LETTER

Sir:

Being filed herewith are copies of the following:

- 1) Notice to File Missing Parts of Non-Provisional Application

In response to the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted, dated 8/17/2001, Applicants enclose herewith a properly executed original Declaration and Power of Attorney in compliance with 37 C.F.R. §1.63. The \$130.00 fee to cover the surcharge under 37 C.F.R. §1.16(e) is hereby authorized to be charged to Deposit Account No. 09-0468. A copy of the Notice to File Missing Parts of Non-Provisional Application - Filing Date Granted is further enclosed.

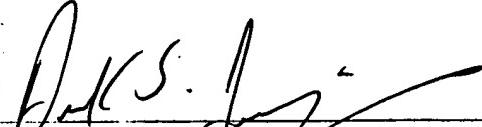
- 2) Deposit Account Authorization Form
- 3) Declarations and Power of Attorney
- 4) Recordation Form Cover Sheet
- 5) Assignment To IBM
- 6) Submission of Priority Document
- 7) Certified Japanes Priority Application No. 2000-245963
- 8) IDS Transmittal Letter
- 9) PTO-1449 Form w/ 1 reference
- 10) Acknowledgment Postcard

The above items are being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

In the event any additional extensions of time are required, please treat this paper as a petition to extend the time as required and charge Deposit Account No. 09-0468.

Respectfully submitted,

  
Mark S. Jennings / Date August 30, 2001  
Reg. Patent Agent/Engineer  
Reg. No. 41,473  
Tel. No. (914) 945-2144

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Kataoka et al.

Docket No.: JP920000224US1

Serial No.: 09/682,276

Group Art Unit: 2673

Filed: August 13, 2001

Examiner:

For: Display Apparatus For A Computer Having A Storage Medium

Assistant Commissioner for Patents  
Washington, D.C. 20231

**CERTIFICATE OF MAILING UNDER 37 CFR 1.8 (a)**

I hereby certify that the attached correspondence comprising:

Notice to File Missing Parts of Non-Provisional Application  
Transmittal Letter  
Deposit Account Authorization Form  
Declaration and Power of Attorney  
Recordation Form Cover Sheet  
Assignment to IBM  
Submission To Priority Document  
Certified Japanese Priority Document 2000-245963  
IDS Transmittal Letter  
PTO 1449 Form w/ 1 reference  
Return Postcard

is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

**Assistant Commissioner for Patents  
Washington, D.C. 20231**

on August 30, 2001

La. Tricia M. Van Buren  
(Type or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: Kataoka et al.

Date: August 29, 2001

Serial No.: 09/682,276

Group Art Unit: 2673

Filed: August 13, 2001

Examiner:

For: Display Apparatus For A Computer Having A Storage Medium

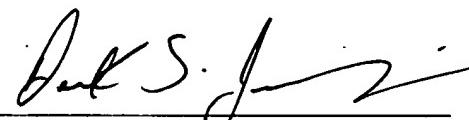
Assistant Commissioner for Patents  
Washington, DC 20231

**DEPOSIT ACCOUNT AUTHORIZATION FORM**

Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated August 20, 2001 this is authorization to charge the fee of \$130.00 for filing the Declaration and Power of Attorney for the above-subject patent application to Deposit Account No. 09-0468, including any readjustment thereof.

Respectfully submitted,

  
\_\_\_\_\_  
Derek S. Jennings  
Reg. Patent Agent/Engineer  
Reg. No.: 41,473  
Tel. No.: (914) 945-2144

IBM Corporation  
Intellectual Property Law Dept.  
P.O. Box 218  
Yorktown Heights, New York 10598

Docket No.: JP920000224US1